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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE toder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/994,412 **TRANSMITTAL** Filing Date **November 27, 2001 FORM** First Named Inventor Ulrich Certa et al. **Art Unit** 1635 (to be used for all correspondence after initial filing) **Examiner Name** Karen A. Lacourciere **Attorney Docket Number** 20787 US **Total Number of Pages in This Submission ENCLOSURES** (Check all that apply) After Allowance communication Drawing(s) Fee Transmittal Form to Group Appeal Communication to Board **Licensing-related Papers** of Appeals and Interferences Fee Attached **Appeal Communication to Group** Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation Status Letter **Change of Correspondence Address** Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s)\_ Information Disclosure Statement Remarks **Certified Copy of Priority** Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brian C. Remy Individual name Signature Sept. 22, 2004 Date **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Brian C. Remy Date Sept. 22, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### **PATENTS**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application: Ulrich Certa et al.

Group: 1635

Application No. 09/994,412

Examiner: Karen A. Lacourciere

Filed: November 27, 2001

Supervisory Examiner: John LeGuyader

For: INHIBITION OF EXPRESSION OF A TARGET GENE

# **STATUS INQUIRY**

Nutley, New Jersey 07110 September 22, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Information regarding the present status of this application is respectfully requested.

The last communication from the Patent and Trademark Office was an Office Action dated October 1, 2003 which contained a restriction requirement. Applicants responded to this restriction requirement on October 29, 2003 (a copy of which is attached hereto). A copy of the document proving that the U.S.P.T.O. received this response on October 29, 2003 is also attached hereto in the form of an automatically generated return receipt confirmation of facsimile transmission from the U.S.P.T.O. Applicants have no record of any further communication from the Patent and Trademark Office and would appreciate knowing when a communication can be expected.

Respectfully submitted

Attorney for Applicant(s)

Brian C. Remy

(Reg. No. 48176)

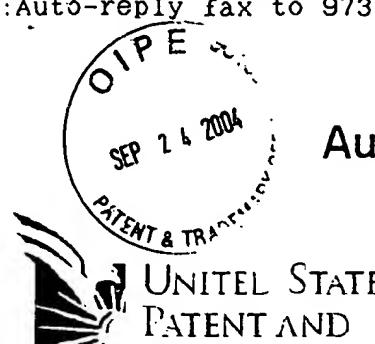
340 Kingsland Street

Nutley, New Jersey 07110 Telephone: (973) 235-6516

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USPTO 10/29/03 9:40 PAGE TO:Auto-reply fax to 973 225 2363 COMPANY:



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Please enter the attached response and forward to Examiner Lacourciere for consideration.

Enclosed, please find:

Response to Restriction Requirement (2 pages) Certificate of Transmission under 37 CFR 1.8 (1 page) PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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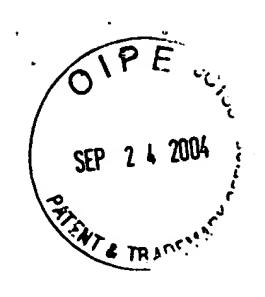
Lyman H. Smith

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### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Ulrich CERTA et al.

Group: 1635

Serial No. 09/994,412, filed November 27, 2001

Examiner: Karen A. Lacourciere

For: INHIBITION OF EXPRESSION OF A TARGET GENE

# RESPONSE TO RESTRICTION REQUIREMENT

Nutley, New Jersey 07110 October 29, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the requirement for restriction, dated as mailed on October 1, 2003, having a one-month shortened statutory period of response that expires on November 3, 2003, Applicants make the following election.

Applicants elect the invention of Group I, drawn to a process of inhibiting the expression of a target gene in cells or tissues. Group I includes claims 1-6.

If a telephone conference would be of assistance in furthering prosecution of the subject application, applicants request that the undersigned be contacted at the number below.

Serial No. 09/994,412

Filed: November 27, 2001

No fee is required in connection with the filing of this Amendment and Response. If any additional fees are deemed necessary, authorization is given to charge the amount of any such fee to Deposit Account No. 08-2525.

Respectfully submitted,

Agent for Applicant(s)

Lyman H. Smith (Reg. No. 44,342)

340 Kingsland Street

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